

02-27-04

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
30860-CNT1

In the Application of: **WARD, Philip T.**

Serial No.
10/716,833

Filing Date
11/19/2003

Examiner

Group Art Unit

Title: **Concrete Forming Panel with Lightweight Frame**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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FEB 26 2004

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.

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FORM PTO-1449 (Rev. 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 30860-CNT1	SERIAL NO. 10/716,833
 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Use several sheets if necessary)		APPLICANT: WARD, Philip T.	
		FILING DATE: 11/19/2003	GROUP:

U.S. PATENT DOCUMENTS

EXAM. INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4 7 0 8 3 1 5	11/24/1987	Carlson et al.			
	4 7 4 4 5 4 1	05/17/1988	Carlson et al.			
	4 9 5 8 8 0 0	09/25/1990	Carlson			
	4 9 7 6 4 0 1	12/11/1990	Carlson			
	4 9 7 8 0 9 9	12/18/1990	Carlson			
	5 0 5 8 8 5 5	10/22/1991	Ward			
	5 0 8 0 3 2 1	01/14/1992	Carlson			
	5 1 7 4 9 0 9	12/19/1992	Ward			
	5 1 8 4 4 3 9	02/09/1993	Ward			
	5 2 8 8 0 5 1	02/22/1994	Ward			
	5 9 6 5 0 5 3	10/12/1999	Carlson			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLAS S	TRANSLATION
					YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Western Forms, Inc. Catalog titled "World's Leading Innovator in Aluminum Forming Systems" copyright 1999, all pages
	Brochure: The World's Leading Innovator in Aluminum Forming Systems (Western Forms, Inc. 1993) (1 page and 1 card enclosure in the back) (6 pages printed on front and back including cover)
	Brochure: A New Generation in Aluminum Forming Systems (Western Forms, Inc. 1998) (6 pages printed on front and back including cover)
	Brochure: Concrete Forming Accessories, 2nd ed. (Western Forms, Inc. (before 1999) (49 printed pages not including front/back cover, divider tabs and printing on inside of covers)

EXAMINER: Initial if citation considered, whether not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.